IAP7 Rec'd PCT/PTO 14 JUN 2006

FORM PTO-1390 (REV. 12-2004) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

# TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

SPL-06-1130

U.S.A. LIGATION ON INFORMATION SEC. 37 CFR 1.5

INTERNATIONAL APPLICATION NO.

PCT/JP2004/019274

INTERNATIONAL FILING DATE
16 December 2004 (16.12.04)

PRIORITY DATE CLAIMED
17 December 2003 (17.12.03)

TITLE OF INVENTION

#### MULTILAYER STRUCTURE AND MULTILAYER SHAPED ARTICLE

#### APPLICANT(S) FOR DO/EO/US

Tomoharu Nishioka, Koji Nakamura, Koichi Uchida and Tsugunori Kashimura

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. x This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. \_\_ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. x This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. \_\_ The US has been elected (Article 31).
- 5.  $\underline{x}$  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. \_\_ is attached hereto (required only if not communicated by the International Bureau).
  - b.  $\underline{x}$  has been communicated by the International Bureau.
  - c. \_ is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. x An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. x is attached hereto.
  - b. has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. \_\_ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. \_\_ are attached hereto (required only if not communicated by the International Bureau).
  - b. \_\_ have been communicated by the International Bureau.
  - c. \_ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. \_\_ have not been made and will not be made.
- 8. \_\_ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. x An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. \_\_ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

#### Items 11 to 20 below concern document(s) or information included:

- 11. x An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
- 12. \_\_ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
- 13. x A preliminary amendment.
- 14. x An Application Data Sheet under 37 C.F.R. 1.76.
- 15. \_\_ A substitute specification.
- 16. \_\_ A power of attorney and/or change of address letter.
- 17. \_ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 1.825.
- 18. \_\_ A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. \_ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. \_\_ Other items or information:

1 Rec'd PC1/PTO 14 JUN 2008

U.S. APPLICATION NO. (if I cown seed 37 OR 1.5) INTERNATIONAL APPLICATION NO.								ATTORNEY'S DOCKET NUMBER		
21. The ionowing fees are submitted:										
■ a) Basic national fee								00.00		
図 b) Examination fee							\$ 20	00.00		
☑ c) Search fee\$ 400.00								00.00		
TOTAL OF ABOVE CALCULATIONS = \$ 900.00								00.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.										
Total Sheets Ext	tra Sheets	Number of each additional 50 or fraction thereof (round <b>up</b> to a whole number)			Rate					
- 100 =	/50 =				x \$250.00		\$			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).							\$			
CLAIMS	CLAIMS NUMBER FILED NUMBER EXTRA F				RATE					
Total Claims		20 - 20 = 0		x \$ 50.00		\$				
Independent claims 2 - 3 =				0 x \$200.00			\$ \$			
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00										
TOTAL OF ABOVE CALCULATIONS =								00.00		
☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.							\$			
SUBTOTAL =							\$ 90	00.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).							\$			
TOTAL NATIONAL FEE =							\$ 90	00.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +							s			
TOTAL FEES ENCLOSED =							\$ 90	00.00		
		,	_					Amount to be refunded:	\$	
		2000 00 4						Amount to be charged:	\$	

a.  $\underline{x}$  A check in the amount of \$900.00 to cover the above fees is enclosed.

b. Please charge my Deposit Account No. 50-2719 in the amount of \$\_\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

T. Daniel Christenbury, Reg. No. 31,750

Customer No. 035811, whose contact information is: IP Group of DLA Piper Rudnick Gray Cary US LLP One Liberty Place, Suite 4900 1650 Market Street Philadelphia, PA 19103 (215) 656-3381

c. x The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2719. A duplicate copy of this sheet is enclosed.

d. \_\_ Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

### 10/582812 Ap3 Rec'd PCT/PTO 14 JUN 20077

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit

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Customer No.: 035811

Docket No.: SPL-06-1130

Examiner

Serial No.

Filed

: Herewith

PCT No. : PCT/JP2004/019274 PCT Filed : December 16, 2004 Inventors : Tomoharu Nishioka

: Koji Nakamura

: Koichi Uchida: Tsugunori Kashimura

Title

: MULTILAYER STRUCTURE AND

: MULTILAYER SHAPED ARTICLE

Dated: June 14, 2006

Confirmation No.:

#### **MAIL STOP PCT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

### 37 CFR 1.10 EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number:

EV 548524323 US

Date of Deposit:

June 14, 2006

**DESCRIPTION OF CONTENTS:** 

Postcard, \$900.00 Check, an Application Transmittal Letter, in duplicate, Form PTO-1390, in duplicate, an Application Data Sheet (1 page), a Preliminary Amendment, an English translation of the above-identified PCT Application as it was filed, including 42 pages of Specification, 3 pages of Claims, 1 page Abstract and 1 sheet Drawing, copies of the Request (5 pages), Forms PCT/IB/308 and 311, the first page of WO 2005/058600 A1 and the International Search Report (with an English translation), an Information Disclosure Statement, Form PTO-1449 w/copies of the publications, and an executed Combined Declaration, Power of Attorney and Petition.

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to **Mail Stop PCT**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Leslie Hood	
(Typed or printed name of person mailing paper or fee)	
(Signature of person mailing paper or fee)	

## 10/582812 AP3 Rec'd PCT/PTO 14 JUN 2007

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit

:

Customer No.: 035811

Docket No.: SPL-06-1130

Examiner

Serial No.

Filed

: Herewith

PCT No. PCT Filed

: PCT/JP2004/019274 : December 16, 2004

Inventors : T

: Tomoharu Nishioka : Koji Nakamura

: Koji Nakamura: Koichi Uchida

: Tsugunori Kashimura

Title

: MULTILAYER STRUCTURE AND

: MULTILAYER SHAPED ARTICLE

Dated: June 14, 2006

Confirmation No.:

#### APPLICATION TRANSMITTAL LETTER

**MAIL STOP PCT** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

We submit herewith for filing in the U.S. Patent and Trademark Office Form PTO-1390, in duplicate, an Application Data Sheet (1 page), a Preliminary Amendment, an English translation of the above-identified PCT Application as it was filed, including 42 pages of Specification, 3 pages of Claims, 1 page Abstract and 1 sheet Drawing, copies of the Request (5 pages), Forms PCT/IB/308 and 311, the first page of WO 2005/058600 A1 and the International Search Report (with an English translation), an Information Disclosure Statement, Form PTO-1449 with copies of the publications, an executed Combined Declaration, Power of Attorney and Petition, and our check in the amount of \$900.00, comprising the official filing fee.

Respectfully submitted,

T. Daniel Christenbury Reg. No. 31,750

Attorney for Applicants

TDC:lh

(215) 656-3381